

# Isabelle Tutorial:

## System, HOL and Proofs

Burkhart Wolff

(with contributions by Makarius Wenzel)

Université Paris-Sud

What we will talk about

# What we will talk about

## Isabelle with:

- Elementary Forward Proofs
- Tactic Proofs (“apply style”)
- Proof Contexts and Structured Proof

# The Syntactic Category <proof>

- Notations for proofs so far:
  - ellipses:  
sorry, oops
  - “one-liners” simp and auto:  
by(<method>) (abbrev: apply(...) done)
  - “apply-style proofs”, backward-proofs:  
apply(<method>) ... apply(<method>)  
done <method>
  - structured proofs:  
proof (<method>) ... qed

# The Syntactic Category <proof>

- Notations for proofs so far:
  - ellipses:  
sorry, oops
  - “one-liners” simp and auto:  
by(<method>) (abbrev: apply(...) done)
  - “apply-style proofs”, backward-proofs:  
apply(<method>) ... apply(<method>)  
done <method>
  - structured proofs:  
proof (<method>) ... qed

# **Introduction to structured proofs in Isabelle/HOL**

# Simple Proof Commands (Recall)

- Simple (Backward) Proofs:

```
lemma <thmname> :  
  [ <contextelem>+ shows ]"< $\phi$ >"  
  <proof>
```

- where <contextelem> declare elements of a proof context  $\Gamma$  (to be discussed further)
- where <proof> is just a call of a high-level proof method `by(simp)`, `by(auto)`, `by(metis)`, `by(arith)` or the discharger `sorry` (for the moment).

# How to Declare Structured Goals (Recall)

- (Simple) Context Element Declarations are:

– fixed variables:

```
fix <x> [:: < $\tau$ >]
```

– assumptions:

```
assume [<thmname>:] „< $\phi$ >“
```

```
and [<thmname>:] „< $\phi$ >“
```



# How to Declare Structured Goals

- Recall from the Logical Framework:

$$\frac{A_1 \quad \dots \quad A_n}{A_{n+1}}$$

or

$$A_1 \implies (\dots \implies (A_n \implies A_{n+1}) \dots)$$

or

$$\llbracket A_1; \dots; A_n \rrbracket \implies A_{n+1}$$

or

theorem

assumes  $A_1$  and ... and  $A_n$

shows  $A_{n+1}$

# How to Declare Structured Goals

- Recall from the Logical Framework:

$$\frac{A_1 \quad \dots \quad A_n}{A_{n+1}} \bullet$$

or

$$A_1 \implies (\dots \implies (A_n \implies A_{n+1})).$$

or

$$\llbracket A_1; \dots; A_n \rrbracket \implies A_{n+1}$$

or

theorem

assumes  $A_1$  and ... and  $A_n$

shows  $A_{n+1}$



Local Proof  
Contexts

# How to Declare Local Proof Contexts

- In contrast (Rich) Context Elements are:

– fixed variables:

```
fixes <x> [:: < $\tau$ >]
```

– assumptions:

```
assumes [<thmname>:] „< $\phi$ >”
```

– local definition:

```
defines <x>  $\equiv$  <t>
```

– reconsidering facts:

```
notes a1=b1 ... an=bn
```

– intermed. results:

```
have [<thmname>:] „< $\phi$ >” <proof>
```

# Local Proof Contexts (Recall)

- A number of commands in Isabelle/Pure are concerned with Proofs, i.e. the syntactic category `<proof>`.
- When starting a proof, Isabelle creates a **proof context** which is:

$\Gamma \vdash_{\ominus} \phi$  + additional information

- Commands transforming proof contexts are called methods ( $\ominus$  remains fix)
- The command “done” closes a proof

**A means to denote  
Rich Proof Contexts:**

**Notepads**

# How to Build "Rich Proof Contexts"

- A constructor for proof-contexts is:

```
notepad
```

```
begin
```

```
  { fix x
```

```
    assume r1: "(A x  $\implies$  B x)  $\implies$  C"
```

```
    assume r2: "A x  $\implies$  B x"
```

```
    have D sorry
```

```
  } print_statement this
```

```
find_theorems C
```

```
end
```

# How to Build “Rich Proof Contexts”

A notepad has a local proof-state with environments for

- fixes
  - assumptions
  - bindings
  - facts
  - using
  - cases
  - ... and the final goal: shows
- notepads are the building blocks of structured proofs and can be nested.
  - a stack of notepad states (with fixes, assumptions, bindings ...) can be seen as “the state of the Isar-engine”

# How to Build “Rich Proof Contexts”

- A notepad has a local proof-state with environments for
  - fixes
  - assumptions (“facts”) (inspect via thm)
  - bindings (inspect via print\_binds)
  - using (“a buffer for assms”)
  - cases (inspect via print\_cases)
  - ... and the final goal: shows
- notepads are the building blocks of structured proofs and can be nested.
- a stack of notepad states (with fixes, assumptions, bindings ...) can be seen as “the state of the Isar-engine”



# Demo V II

- use Some aspects of Isabelle/Isar;
- use `Makarius.tar.gz`

# **Fundamentals of Structured Proofs in Isabelle/Isar**

# Structured Proofs in `<proof>`

- Notations for proofs so far:
  - ellipses:  
sorry, oops
  - “one-liners” simp and auto:  
by(`<method>`) (abbrev: apply(...) done)
  - “apply-style proofs”, backward-proofs:  
apply(`<method>`) ... apply(`<method>`)  
done `<method>`
  - structured proofs:  
proof (`<method>`) ... qed

# Structured Proofs

- the structured `<proof>` option

```
- proof (<initial method>
        <notepad> {next <notepad>}*
qed [(<final method>)]
```

allows to declare a number  
of notepads (declaratively declared subproblems)  
that were

**MATCHED**

against the subgoals after `<initial method>`

# The Syntactic Category <proof>

- structured proofs (in detail):

```
proof ((<method>) | -)  
  <notepad>  
  {next <notepad>}*  
  qed [ (<method>) ]
```

- notepads:

```
<rich ctxt element>* show “<φ>” <proof>
```

# The Syntactic Category <proof>

- structured proofs:
  - allow to declare sub-goals declaratively  
(eased by pattern-matching and abbreviations)
  - subgoals were matched against the proof context  
(order irrelevant, lifting over parameters and assumptions)
  - allow for advanced notation  
for matching constructs following  
induction and case distinction
  - can be nested
  - extensible (see ITP2014: “Eisbach”)

# Running Example 1

- Running Example: A compact proof for *reverse\_conc* in Example *Induction.thy* might look like this:

`lemma reverse_conc:`

`"reverse (conc xs ys) = conc (reverse ys) (reverse xs)"`

`by (induct xs) (simp_all add: conc_empty conc_assoc)`

Compact imperative, apply-style proof via `by` pursuing induction and subsequent simplification on the resulting subgoals.

# Running Example 2

- Running Example as simple structured proof:

```
lemma reverse_conc':  
  "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
proof (induct xs) (* *)  
  show "reverse (conc Empty ys) = conc (reverse ys) (reverse Empty)"  
    by(simp add: conc_empty)  
next  
  fix a xs  
  assume A: "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
  show "reverse (conc (Seq a xs) ys) =  
        conc (reverse ys) (reverse (Seq a xs))"  
    using A by(simp add: conc_assoc)  
qed
```



# Running Example 2

- Running Example as simple structured proof:

At position (`* *`) the output of the Isar-Engine is:

1.  $\text{reverse} (\text{conc Empty } ys) = \text{conc} (\text{reverse } ys) (\text{reverse Empty})$

2.  $\wedge a \text{ xs.}$

$\text{reverse} (\text{conc } xs \text{ } ys) = \text{conc} (\text{reverse } ys) (\text{reverse } xs) \implies$

$\text{reverse} (\text{conc} (\text{Seq } a \text{ } xs) \text{ } ys) = \text{conc} (\text{reverse } ys) (\text{reverse} (\text{Seq } a \text{ } xs))$

which is exactly matched by the re-declaration in the two notepads separated by `next`. Redeclaration is a means both to increase *readability* and *portability* (since this is formally checked text, the redundancy will not be a source of degrading correctness during development), but clearly redundancy may be unwanted blur.

By the way, the order of the notepads does not play a role:

# Running Example 2'

- Running Example as simple structured proof:

```
lemma reverse_conc":  
  "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
proof (induct xs) (* *)  
  fix a xs  
  assume A: "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
  show "reverse (conc (Seq a xs) ys) =  
        conc (reverse ys) (reverse (Seq a xs))"  
    using A by(simp add: conc_assoc)  
next  
  show "reverse (conc Empty ys) = conc (reverse ys) (reverse Empty)"  
    by(simp add: conc_empty)  
qed
```

# Running Example 3

- Running Example as structured proof with abbreviations (declared by matching):

```
lemma reverse_conc":  
  "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
  (is "?lhs xs = ?rhs xs ")  
proof (induct xs) print_binds  
  show "?lhs Empty = ?rhs Empty"  
    by(simp add: conc_empty)  
next  
  fix a xs  
  assume A:"?lhs xs = ?rhs xs" show "?lhs(Seq a xs) = ?rhs(Seq a xs)"  
    using A by(simp add: conc_assoc)  
qed
```

# Running Example 3

- Running Example as simple structured proof:

At position `print_binds` the output of the Isar-Engine is:

term bindings:

`?lhs`  $\equiv \lambda a. \text{reverse } (\text{conc } a \text{ } ys)$

`?rhs`  $\equiv \lambda a. \text{conc } (\text{reverse } ys) (\text{reverse } a)$

`?thesis`  $\equiv \text{reverse } (\text{conc } xs \text{ } ys) = \text{conc } (\text{reverse } ys) (\text{reverse } xs)$

which shows the two schema-variables `?lhs` and `?rhs` defined by HO-Unification and, by the way, explains what `?thesis` is: the schema-variable that is by default matched against the conclusion of the goal.

As one can see, these bindings may be reused in the re-declarations and can reduce blur dramatically. If carefully used, this can increase the understanding of the proof substantially.

# Running Example 4

- Running Example as cases structured proof:

```
lemma reverse_conc':  
  "reverse (conc xs ys) = conc (reverse ys) (reverse xs)"  
proof (induct xs) print_cases  
  case Empty show "?case" by (simp add: conc_empty)  
next  
  case (Seq a xs) from Seq.hyps show "?case"  
    by (simp add: conc_assoc)  
qed
```

# Running Example 4

- Running Example as simple structured proof:

At position `print_cases` the output of the Isar-Engine is:

cases:

Empty:

```
let "?case" = "reverse (conc Empty ys) = conc (reverse ys) (reverse Empty)"
```

Seq:

```
fix a_ xs_
```

```
let "?case" = "reverse (conc (Seq a_ xs_) ys) =  
              conc (reverse ys) (reverse (Seq a_ xs_))"
```

```
assume "Seq.hyps": "reverse (conc xs_ ys) = conc (reverse ys) (reverse xs_)"  
and "Seq.premis" :
```

- This is an environment of environments, that modify the bindings and assumptions accordingly. A sub-environment is activated with the `case` switch, the outer syntax for case-selectors may be parameterized by arguments that instantiate the fixes of that case.

# Running Example 4

- Note that this setup in the cases-environment of the Isar-Engine is an effect of the init-method, in our case (induct xs).

A few methods influence the case-environment:

- induct (but not the older: induct\_tac)
- cases (but not the older: case\_tac)
- ...

# Running Example 5 ...

- Note that

```
case (Seq a xs) show "?case" using Seq.hyps
      by(simp add: conc_assoc)
```

- is equivalent to:

```
case (Seq a xs) from Seq.hyps show "?case"
      by(simp add: conc_assoc)
```

is equivalent to:

```
case (Seq a xs) from `reverse (conc xs ys) =
                      conc (reverse ys) (reverse xs)`
show "?case" by(simp add: conc_assoc)
```

where ``<pattern>`` allows explicit search of an assumption in the local proof context; all these variants offer different proof abstraction levels.



# Demo V III

- use Some aspects of Isabelle/Isar;
- use Makarius.tar.gz, Compilation.thy